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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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CERTIFICATE UNDER 37 CFR 1.10  
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By: Mark L. Green  
Name: Mark L. Green

JCS60 U.S. PTO  
09/160916  
09/25/98

CONTINUATION APPLICATION UNDER 37 C.F.R. § 1.53(b)

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

This is a request for filing a continuation application under 37 CFR § 1.53(b) of Serial No. 08/921,481, filed on September 2, 1997 entitled COMPOSITE MASONRY BLOCK by the following inventor(s):

Full Name Of Inventor	Family Name	First Given Name	Second Given Name
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- ☒ Enclosed is a copy of the prior application; including the specification, claims, drawings, oath or declaration showing the applicant's signature, and any amendments referred to in the oath or declaration filed to complete the prior application. (It is noted that no amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein.) The continuing application is as follows: 22 pages of specification, 29 claims, 1 pages of abstract, 5 sheets of drawings, and 2 pages of oath or declaration.
- ☒ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Cancel original claims \_\_\_\_\_ of this application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ The filing fee is calculated below:

CLAIMS AS FILED

NUMBER FILED	NUMBER EXTRA		RATE	FEE
<b>TOTAL CLAIMS:</b>				
29 -20	9	x	\$22.00	\$198.00
<b>INDEPENDENT CLAIMS</b>				
4 -3	1	x	\$82.00	\$82.00
			<b>BASIC FILING FEE:</b>	\$790.00
			<b>TOTAL FILING FEE:</b>	\$1070.00

☐ A Verified Statement that this filing is by a small entity is already filed in the prior application.

☐ A Verified Statement that this filing is by a small entity is attached.

4 ☒ Payment of fees:

☒ Attached is a check in the amount of \$1070.00 .

☐ Please charge Deposit Account No. 13-2725.

5 ☒ The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper or credit any overpayment to Account No. 13-2725.

6 ☒ Amend the specification by inserting before the first line the sentence:

"This application is a Continuation of application Serial No. 08/921,481, filed September 2, 1997, which is a Continuation of application Serial No. 08/675,572, filed July 3, 1996, which is a Continuation of application Serial No. 08/469,795, filed June 6, 1995, now issued as U.S. Patent No. 5,589,124, which is a Continuation of application Serial No. 08/157,830, filed November 24, 1993, which is a Divisional of application Serial No. 07/651,322, filed February 6, 1991, now issued as U.S. Patent No. 5,294,216, which is a Divisional of application Serial No. 07/534,831, filed June 7, 1990, now issued as U.S. Patent No. 5,062,610, which is a Continuation-in-Part of application Serial No. 07/413,400, filed September 27, 1989, which is a Continuation-in-Part application of application Serial No. 07/413,050, filed September 27, 1989 (now abandoned), which applications are incorporated herein by reference."

7. ☐ A set of formal drawings (\_\_\_\_ sheets) is enclosed.

8. ☐ Priority of application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_, is claimed under 35 U.S.C. 119.

☐ The certified copy has been filed in prior application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

9. ☒ The prior application is assigned of record to Anchor Wall Systems, Inc. located at Minneapolis, Minnesota.

10. ☒ The Power of Attorney in the prior application is to:

Merchant, Gould, Smith, Edell,  
Welter & Schmidt, P.A.  
3100 Norwest Center  
90 South Seventh Street  
Minneapolis, MN 55402-4131


11. ☐ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
- ☐ Fee for excess claims is attached.
12. ☐ A petition and fee has been filed to extend the term in the prior application until \_\_\_\_\_. A copy of the petition for extension of time in the prior application is attached.
13. ☐ The inventor(s) in this application are less than those named in the prior application and it is requested that the following inventors identified above for the prior application be deleted:
14. ☐ Also Enclosed:
15. ☒ Address all future communications to the **Attention of John J. Gresens** (may only be completed by attorney or agent of record) at the address below.
16. ☒ A return postcard is enclosed.

Respectfully submitted,

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Date:

Sep. 25, 1998

  
\_\_\_\_\_  
John J. Gresens  
Reg. No. 33,112  
JJG:PSTkaw

June 7, 1990

Date of post \_\_\_\_\_  
I hereby certify that this paper or fee is being deposited  
with the United States Postal Service "Express Mail Post  
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sioner of Patents and PATENTS, Washington, D.C. 20231  
Lynne M. Kelly

3616.20-US-01COMPOSITE MASONRY BLOCK

printed name

Signature

- 5 This patent application is a Continuation-In-Part of  
U.S. Patent Application Serial Nos. 07/413,400 and  
07/413,050 both filed September 28, 1989.

### Field of the Invention

- 10 This invention relates generally to masonry blocks  
which may be used in the construction of landscaping  
elements. More specifically, the present invention relates  
to masonry block manufacturing processes and the resulting  
high strength masonry blocks which may be used to construct  
15 structures such as retaining walls of variable patterns.

### Background of the Invention

- Soil retention, protection of natural and artificial  
structures, and increased land use are only a few reasons  
20 which motivate the use of landscape structures. For  
example, soil is often preserved on a hillside by  
maintaining the foliage across that plane. Root systems  
from trees, shrubs, grass, and other naturally occurring  
plant life work to hold the soil in place against the  
25 forces of wind and water. However, when reliance on  
natural mechanisms is not possible or practical man often  
resorts to the use of artificial mechanisms such as  
retaining walls.

- In constructing retaining walls many different  
30 materials may be used depending upon the given application.  
If a retaining wall is intended to be used to support the  
construction of an interstate roadway, steel or a concrete  
and steel retaining wall may be appropriate. However, if  
the retaining wall is intended to landscape and conserve  
35 soil around a residential or commercial structure a  
material may be used which compliments the architectural

style of the structure such as wood timbers or concrete block.

Of all these materials, concrete block has received wide and popular acceptance for use in the construction of retaining walls and the like. Blocks used for these purposes include those disclosed by Risi et al, U. S. Patent Nos. 4,490,075 and Des. 280,024 and Forsberg, U.S. Patent Nos. 4,802,320 and Des. 296,007 among others. Blocks have also been patterned and weighted so that they may be used to construct a wall which will stabilize the landscape by the shear weight of the blocks. These systems are often designed to "setback" at an angle to counter the pressure of the soil behind the wall. Setback is generally considered the distance which one course of a wall extends beyond the front of the next highest course of the same wall. Given blocks of the same proportion, setback may also be regarded as the distance which the back surface of a higher course of blocks extends backwards in relation to the back surface of the lower wall courses. In vertical structures such as retaining walls, stability is dependent upon the setback between courses and the weight of the blocks.

For example, Schmitt, U.S. Patent No. 2,313,363 discloses a retaining wall block having a tongue or lip which secures the block in place and provides a certain amount of setback from one course to the next. The thickness of the Schmitt tongue or lip at the plane of the lower surface of the block determines the setback of the blocks. However, smaller blocks have to be made with smaller tongues or flanges in order to avoid compromising the structural integrity of the wall with excessive setback. Manufacturing smaller blocks having smaller tongues using conventional techniques results in a block tongue or lip having inadequate structural integrity. Concurrently, reducing the size of the tongue or flange

with prior processes may weaken and compromise this element of the block, the course, or even the entire wall.

Previously, block molds were used which required that the block elements such as a flange be formed from block  
5 mix or fill which was forced through the cavity of the mold into certain patterned voids within the press stamp or mold. The patterned voids ultimately become the external features of the block body. These processes relied on the even flow of a highly viscous and abrasive fill throughout  
10 the mold, while also not allowing for under-filling of the mold, air pockets in the fill or the mold, or any other inaccuracies which often occur in block processing.

The result was often that a block was produced having a well compressed, strong block body having weak exterior  
15 features. Any features formed on the block were substantially weaker due to the lack of uniform pressure applied to all elements of the block during formation. In turn, weaker exterior features on the outside of the block such as an interlocking flange could compromise the entire  
20 utility of the block if they crumble or otherwise deteriorate due to improper formation.

The current design of pinless, mortarless masonry blocks generally also fails to resolve other problems such as the ability to construct walls which follow the natural  
25 contour of the landscape in a radial or serpentine pattern. Previous blocks also have failed to provide a system allowing the use of anchoring mechanisms which may be affixed to the blocks without complex pinning or strapping fixtures. Besides being complex, these pin systems often  
30 rely on only one strand or section of a support tether which, if broken, may completely compromise the structural integrity of the wall. Reliance on such complex fixtures often discourages the use of retaining wall systems by the every day homeowner. Commercial landscapers generally  
35 avoid complex retaining wall systems as the time and

expense involved in constructing these systems is not supportable given the price at which landscaping services are sold.

As can be seen the present state of the art of forming  
5 masonry blocks as well as the design and use of these blocks to build structure has definite shortcomings.

#### Summary of the Invention

In accordance with the present invention there is  
10 provided a composite masonry block comprising a block body having a front surface and a substantially parallel back surface, an upper surface and a lower surface, and first and second sidewall surfaces each comprising a first and second part. The sidewall first part extends from the  
15 block front surface towards the block back surface at an angle of no greater than ninety degrees in relationship to the block front surface. The sidewall second part adjoins and lies between the sidewall first part and the block back surface. The block of the present invention also comprises  
20 a flange extending from the block back surface past the height of the block.

In accordance with a further aspect of the present invention there are provided landscaping structures such as retaining walls comprising a plurality of courses, each of  
25 the courses comprising a plurality of the composite masonry blocks of the present invention.

In accordance with an additional aspect of the present invention there is provided a masonry block mold, the mold comprising two opposing sides and a front and back wall.  
30 The opposing sides adjoin each other through mutual connection with the mold front and back walls. The mold has a central cavity bordered by the mold opposing sides and the mold front and back wall. The mold opposing sides comprise stepped means for holding additional block mix in  
35 the mold cavity adjacent the front and back walls.

In accordance with another aspect of the present invention there is provided a method of using the composite masonry block mold of the present invention comprising filling the mold, subjecting the fill to pressure, and  
5 ejecting the formed masonry blocks from the mold.

Brief Description of the Drawings

FIGURE 1 is a perspective view of a preferred embodiment of the mortarless retaining wall block in  
10 accordance with the present invention.

FIGURE 2 is a top plan view of the mortarless retaining wall block shown in Fig. 1.

FIGURE 3 is a side elevational view of a mortarless retaining wall block shown in Fig. 1.

15 FIGURE 4 is a perspective view of an alternative embodiment of the mortarless retaining wall block in accordance with the present invention.

FIGURE 5 is a top plan view of the mortarless retaining wall block depicted in Fig. 4.

20 FIGURE 6 is a side elevational view of the mortarless retaining wall block depicted in Figs. 4 and 5.

FIGURE 7 is a partially cut away perspective view of a retaining wall having a serpentine pattern constructed with one embodiment of the composite masonry block of the  
25 present invention.

FIGURE 8 is a partially cut away perspective view of a retaining wall constructed with one embodiment of the composite masonry block of the present invention showing use of the block with anchoring matrices laid into the  
30 ground.

FIGURE 9 is a cut away view of the wall shown in Fig. 8 taken along lines 9-9.

FIGURE 10 is a schematic depiction of one embodiment of the method of the present invention.



FIGURE 11 is a side elevational view of one embodiment of the masonry block mold in accordance with the present invention.

FIGURE 12 is a top plan view of the masonry block mold shown in Fig. 11 in accordance with the present invention.

FIGURE 13 is an exploded perspective view of one embodiment of the masonry block mold of the present invention showing application of the supporting bars, core forms, and stamp plate.

#### Detailed Description of the Preferred Embodiments

Accordingly, the present invention provides a composite masonry block, structures resulting from this block, a masonry block mold for use in manufacturing the block of the present invention, and a method of using this mold. The present invention provides a mortarless interlocking masonry block having a high structural integrity which may be used to construct any number of structures having a variety of patterns. Moreover, the block of the present invention is made through a process and mold which facilitates and enhances the formation of a high strength block with an interlocking element which also has a high structural integrity and allows the fabrication of various landscaping structures of high strength.

#### Composite Masonry Block

Referring to the drawings wherein like numerals represent like parts throughout several views, a composite masonry block 15 is generally shown in Figs. 1-3 and 4-6. The first aspect of the present invention is a composite masonry block having an irregular trapezoidal shaped block body 20.

The block body generally comprises a front surface 22 and a back surface 24 which are substantially parallel to each other. The front 22 and back 24 surfaces are

separated by a distance comprising the depth of the block. The block also has an upper surface 26 and a lower surface 28 separated by a distance comprising the height of the block 15. The lower surface 28 generally has a smaller area proportion than the upper surface 26, Fig. 3.

The block also has a first 30 and second 31 sidewall separated by a distance comprising the width of the block, Figs. 2 and 5. The sidewalls adjoin the block upper and lower surfaces. Both sidewalls comprise a first and second part. The sidewall first part extend from the block front surface towards the back surface at an angle of no greater than ninety degrees in relationship to the block front surface. The sidewall second part adjoins and lies between the first part and the block back surface.

The block also has a flange 40 spanning the width of the block back surface 24 and extending from the block back surface 24 past the height of the block, Figs. 3 and 6. Generally, the flange comprises a setback surface 42 and a locking surface 44. The setback surface 42 extends from the lower edge of the flange 40 in a plane parallel to the block upper 26 and lower 28 surfaces towards the block front surface 22 to adjoin the flange locking surface 44. The locking surface extends from the plane of the block lower surface 28 and adjoins the setback surface 42.

The first element of the composite masonry block of the present invention is the body of the block 20, Figs. 1-3. The block body 20 provides weight and physical structure to the system in which the block is used. Landscaping elements such as retaining walls often must be constructed of units which not only provide a structural impediment to resist the natural flow of soil, but must also provide the shear weight to withstand these forces. Moreover, the body of the block functions to provide the supporting surfaces which may be used to seat an aesthetically pleasing pattern such as that found on the front surface 22 of the block,

Fig. 1. Finally the body of the block of the present invention provides a substrate for holding elements which help form an interlocking matrix with other blocks when used in a structure such as a wall. In particular, the  
5 block carries a flange 40 which assists in the interlocking function of the block.

Generally, the block may take any number of shapes in accordance with the present invention. Distinctive of the present invention is the ability to use the block seen in  
10 Figs. 1-3 and 4-6 to construct either straight or serpentine walls. Accordingly, the block of the present invention preferably has an irregular trapezoidal shape having a parallel front 22 and back surfaces 24, Fig. 2. The necessarily irregular nature of the trapezoidal block  
15 of the present invention comes from the blocks two part sidewalls 30, 31, Fig. 2.

As can be seen, the block body 20 generally has eight surfaces. The front surface 22 generally faces outward from the structure and may either have a plain or a  
20 roughened appearance to enhance the blocks aesthetic appeal. In fact, the block front surface 22 may be smooth, rough, planar or nonplanar, single faceted or multi-faceted.

The back surface 24 of the block generally lies  
25 parallel to the front surface 22. The top surface 26 generally lies parallel to the bottom surface 28. As can be seen, Fig. 3, the upper surface has a greater depth across the block than the lower surface 28. Generally, the difference in depth between the upper surface 26 and the  
30 block lower surface 28 is attributable to the position of the flange 40, extending in part from the lower surface of the block, Fig. 3.

The block body sidewall surfaces 30, 31 lie across the width of the block, Fig. 2. The sidewalls of the block  
35 body of the present invention allow for the construction of

straight structures or serpentine structures and more particularly outside radius turns. Accordingly, the block sidewalls are preferably of two-part construction. As can be seen in Fig. 2, the block sidewall first parts 34, 38  
5 extend on either side of the block from the block front surface at an angle,  $\alpha$ , of approximately ninety degrees toward the block back surface, Fig. 2.

Generally, at about one-fifth to about one-quarter of the depth of the block, the sidewall first part 38 joins  
10 the sidewall second part, Figs. 2 and 3. The sidewall second part 32, 36 generally continue further towards the back surface 24 of the block body. Preferably, the sidewall second surfaces converge towards each other as these surfaces move towards the back surface of the block.  
15 The angle,  $\beta$ , of the sidewall second preferably ranges in magnitude from about 30 degrees to about 60 degrees in relation to the block back surface, Fig. 2. This provides structures having a more aesthetically preferable or pleasing appearance by avoiding a "stepped" appearance  
20 which results from the adjacent placement of blocks having an extreme sidewall angle.

The two-part sidewalls allow for the construction of aligned, straight walls given the sidewall first part which aligns with adjoining sidewall first parts of blocks in the  
25 same wall course, (see 34, 38, Fig. 8). Optionally, the same embodiment of the block of the present invention allows the construction of aligned serpentine structure 45, Fig. 7.

Alternatively, the first part of the sidewall surfaces  
30 may have an angle,  $\alpha$ , which is less than ninety degrees, Figs. 4-6. This embodiment of the block of the present invention may more preferably be used in the construction of serpentine structures such as that shown in Fig. 7. In this instance, the block sidewall first part  
35 provides a block with a more aesthetically refined, rounded

or multi-faceted front surface 22, Fig. 4. The sidewall second part in this embodiment of the block of the present invention also converge along angle, beta, towards the rear surface of the block allowing the construction of a  
5 structure similar to that shown in Fig. 7.

The block of the present invention also comprises a flange 40, Figs. 3 and 6. The flange 40 assists in providing an effective interlocking mechanism which stabilizes the structures made in accordance with the  
10 present invention. Moreover, the block mold and method of molding blocks of the present invention allow the formation of block elements, such as flange 40, having high structural strength. The processing simultaneously affords the construction of interlocking elements having minimal  
15 size. The result of flanges having such minimal size is a structure having minimal setback and maximum stability given the weight and proportions of the blocks used.

The flange 40 may take any number of forms. Preferably, the flange 40 spans the width the blocks back surface 24 and extends from the block back surface beyond  
20 the height of the block. Generally, the flange 40 will extend beneath the lower surface of the block so that when stacked the flange 40 of each ascending block will hang over and lock onto the back surface of the block of the  
25 adjacent block in the next lowest course, Fig. 9.

The flange 40 may comprise any number of surfaces to aid in seating and locking the block in place. Preferably, the flange has a setback surface 42 and a locking surface 44. The setback surface generally adjoins and extends from  
30 the lower edge of the flange in a plane parallel to the block upper and lower surfaces. Adjoining the flange setback surface 42 and the block lower surface 28 is the flange locking surface 44, Figs. 3 and 6.

The width of the setback surface determines the amount  
35 that the blocks of each successive course will setback from

blocks from the next lower course. Generally, each successive course of blocks should setback far enough to maintain the stability of the soil behind the wall. In turn, flange 40 generally should be large enough to provide  
5 a high strength interlocking element, while remaining small enough to retain the stability of the wall. To this end, the width W of the setback surface 42, Figs. 3 and 6, generally ranges in width from about 1 inch to about 2 inches across its base. This width range provides minimal  
10 setback while ensuring the provision of a strong flange.

In its most preferred mode, the block of the present invention is suitable for both commercial and residential use by landscapers as well as homeowners for use in building landscape structures. In this instance, the block  
15 generally weighs from about 50 lbs. to about 100 lbs. and more preferably 65 lbs. to 75 lbs. and has a height of about 3 inches to 12 inches, and more preferably 3 inches to 6 inches, a width of about 12 inches to about 18 inches, and more preferably 14 inches to 16 inches, and a length of  
20 about 6 inches to about 24 inches and more preferably 14 inches to about 16 inches. These measurements allow the maintenance of the appropriate weight to width ratio of the block, provide a block weighted to allow manual transport by one person, and ensures optimal efficiency in the use of  
25 machinery.

#### Block Structures

The composite masonry block 15 of the present invention may be used to build any number of landscape structures.  
30 Examples of the structures which may be constructed with the block of the present invention are seen in Figs. 7-9. As can be seen in Fig. 7, the composite masonry block of the present invention may be used to build a retaining wall  
45 using individual courses 47 to construct to any desired  
35 height. The blocks may be stacked in an even pattern or an

offset pattern depending on the intended application.

Generally, construction of a structure such as a retaining wall 45 may be undertaken by first defining a trench area beneath the plane of the ground 48 in which to deposit the first course 49 of blocks, Figs. 7 and 8. Once defined, the trench is partially refilled and tamped or flattened. The first course 49 of blocks is then laid into the trench, Fig. 8. The first course of blocks may often comprise blocks which are laid on their back in order to define a pattern or stop at the base of the wall. As can be seen in Figs. 7-9, successive courses of blocks are then stacked on top of preceding courses while backfilling the wall with soil 48'. As stability is dependent upon weight and minimal setback, the minimal setback provided by the blocks of the present invention assists in further stabilizing even lighter weight blocks. This minimal setback adds to the stability of smaller size blocks by slowing the horizontal movement backward of the wall through the addition of successive courses.

As can be seen in Figs. 7 and 8 the blocks of the present invention allow for the production of serpentine or straight walls. The blocks may be placed at an angle in relationship to one another so as to provide a serpentine pattern having convex and concave surfaces, Fig. 7.

Moreover, depending on which embodiment of the block of the present invention is used, various patterns, serpentine or straight, may be produced in any given structure.

One benefit of the blocks of the present invention is their two part sidewall. While the first part of the side wall has a right angle in relationship to the front surface of the block 22, the second part of the block sidewalls converge or angle towards each other as the sidewall moves towards the back surface 24 of the block. The converging second part of the block sidewalls allows the blocks to be set in a range of angles relative to adjacent blocks of the

same course, Fig. 7.

Moreover, when a straight wall is desired, Fig. 8, the blocks of the present invention allow for the placement of the blocks flush against each other. As can be seen in  
5 Fig. 8, block sidewall first part surfaces 38 and 34 of two adjacent blocks are flush against one another. This allows for the construction of a wall having tighter block placement.

In contrast, if a more highly angled serpentine wall is  
10 desired the block depicted in Figs. 4-6 may be used. This block comprises sidewall first parts 34, 38 which have an angle and which may be less than 90°. As can be seen, the sidewalls first part 34, 38 effectively become the second and third faces along with the block front surface 22, of a  
15 three faceted front of the block. The lack of a 90° sidewall first part shortens the effective length of the block depicted in Figs. 4-6. Thus, in angling the blocks of Figs. 4-6 the length of the sidewalls first part 34, 38 does not become a factor block placement. As a result  
20 blocks of the same relative size and weight may be used more efficiently given limited space.

As can be seen in Fig. 8, a supporting matrix 42 may be used to anchor the blocks in the earth fill 48' behind the wall. One advantage of the block of the present invention  
25 is that despite the absence of pins, the distortion created by the block flange 40 anchors the entire width of the matrix 42 when pressed between two adjacent blocks of different courses, Fig. 9.

In this instance, a wall is constructed again by  
30 forming a trench in the earth. The first course 49 of the wall is seated in the trench and will be under soil once the wall is backfilled. The blocks 15 are placed on a securing mat or matrix 42 which is secured within the bank 48' by deadheads 44. The deadheads 44 serve as an



additional stabilizing factor for the wall providing additional strength. The deadheads 44 may be staggered at given intervals over the length of each course and from course to course to provide an overall stability to the entire wall structure.

#### Block Molding the Blocks

An additional aspect of the present invention is the process for casting or forming the composite masonry blocks of this invention using a masonry block mold. Generally, the process for making this invention includes block molding the composite masonry block by filling a block mold with mix and casting the block by compressing the mix in the mold through the application of pressure to the exposed mix at the open upper end of the block mold. Formation of the block of the present invention is undertaken with a stepped mold to ensure that the pressure applied to the entire block 15 is uniform across the body 20 and flange 40.

An outline of the process can be seen in the flow chart shown in Fig. 10. Generally, the processes is initiated by mixing the concrete fill. Any variety of concrete mixtures may be used with this invention depending upon the strength, water absorption, density, and shrinkage among other factors desired for the given concrete block. One mixture which has been found to be preferable includes cementitious materials such as cement or fly ash, water, sand, and gravel or rock. However, other components including plasticizers, water proofing agents, cross-linking agents, dyes, colorants, pigments etc. may be added to the mix in concentrations up to 5 wt-% depending upon the physical characteristics which are desired in the resulting block.

Blocks may be designed around any number of different physical properties in accordance with ASTM Standards

depending upon the ultimate application for the block. For example, the fill may comprise from 75 to 95% aggregate being sand and gravel in varying ratios depending upon the physical characteristics which the finished block is intended to exhibit. The fill generally also comprises some type of cementitious materials at a concentration ranging from 4% to 12%. Other constituents may then be added to the fill at various trace levels in order to provide blocks having the intended physical characteristics.

Generally, once determined, the fill constituents may be placed in any number of general mixers including those commonly used by those with skill in the art for mixing cement and concrete. To mix the fill, the aggregate, the sand and rock, is first dumped into the mixer followed by the cement. After one to two and one-half minutes, any plasticizers that will be used are added. Water is then introduced into the fill in pulses over a one to two minute period. The concentration of water in the mix may be monitored electrically by noting the resistance of the mix at various times during the process. While the amount of water may vary from one fill formulation to another fill formulation, it generally ranges from about 1% to about 6%.

Once the fill is mixed, the fill is then loaded into a hopper which transports the fill to the mold within the block machine, Figs. 11 and 12.

The mold 50 generally comprises at least four sides bordering a central cavity. As can be seen in Fig. 12, the mold generally has a front wall 58, a back wall 56, and a first 52 and second 54 opposing side. The opposing sides (52, 54) are each generally stepped in area 53 having a depressed center length (52', 54') and an elevated higher end adjacent the front and back walls, Fig. 11. The central cavity 55 is bordered by these walls.

Core forms 62 may also be placed in the mold cavity 55 prior to loading the mold with block mix. Generally, the core forms 62 may be supported by bars 60 positioned across opposing first 52 and second 54 sidewalls and adjacent to the stepped regions 53 in each of these sidewalls.

Turning to the specific aspects of the mold, the mold functions to facilitate the formation of the blocks. Accordingly, the mold may comprise any material which will withstand the pressure to be applied to block fill by the head. Preferably, metals such as steel alloys having a Rockwell "C"-scale ranging from about 60-65 provide optimal wear resistance and the preferred rigidity. Generally, metals found useful in the manufacture of the mold of the present invention include high grade carbon steel 41-40 AISI (high nickel content, prehardened steel), carbon steel 40-50 (having added nickel) and the like. A preferred material includes carbon steel having a structural ASTM of A36.

The mold of the present invention may be made by any number of means known to those of skill in the art. Generally, the mold is produced by cutting the stock steel, patterning the cut steel, providing an initial weld to the patterned mold pieces and heat treating the mold. Heat treating generally may take place at temperatures ranging from 1000°F. to 1400°F. for 4 to 10 hours depending on the ability of the steel to withstand processing and not distort. After heat treating, final welds are then applied to the pieces of the mold.

Turning to the individual elements of the mold, the mold walls generally function according to their form by withstanding the pressure created by the press. Further, the walls measure the height and depth of the resulting blocks. Accordingly the mold walls must be made of a thickness which will accommodate the processing parameters of block formation given a specific mold composition.

Preferably, the mold walls range in thickness from about 0.25 inch to about 2.0 inches, preferably from about 0.75 inch to 1.5 inches.

Additionally, the mold sidewalls function to ensure  
5 that uniform pressure is applied throughout the entire  
block during formation. Uniform pressure on all block  
elements is ensured by retaining additional block fill or  
mix adjacent the mold front 56 and back 58 wall in areas  
55A and 55B, which will be the area in which the block  
10 flange 40 (Figs. 3 and 6) is formed. By retaining mix in  
areas 55A and 55B, the same compression is applied to the  
mix which becomes the block body and to the mix which  
becomes the block flange. The application of uniform  
pressure to the block flange allows the construction of  
15 smaller blocks having smaller, stronger flanges. In turn,  
a smaller flange provides a block which results in a more  
vertical structure such as a wall having less setback from  
course to course and, as a result, greater stability over  
its height.  
20 Generally, the mold sidewalls 52, 54 may take any form  
which provides this function. Preferably, the mold  
sidewalls 52, 54 are stepped 53 as can be seen in Figs. 11  
and 12. Turning to Fig. 11, mold sidewall 54 is stepped  
twice across its length in region 53 to create a depressed  
25 central length '54' in the sidewall 54. In Fig. 11, the  
mold 50 is shown during the actual block formation step,  
with the head 72 compressed onto the block fill in the mold  
50.

The mold may preferably also comprise support bars 60  
30 and core forms 62. The support bars 60 hold the core forms  
62 in place and act as a stop for block fill or mix which  
is retained in the elevated (or stepped) region of the mold  
50 thereby preventing the fill from flowing back into the  
area bordered by the depressed central lengths 52' and 54'  
35 of sidewalls 52 and 54. Here again, the support bars may

take any shape, size material composition which provides these functions.

As can be seen more clearly in Fig. 12, support bar 60 is preferably long enough to span the width of mold 50 resting on opposing sidewalls 52 and 54. Preferably the support bars 60 are high enough to restrict the flow of fill into the central area of the mold cavity 55. Complementing this function, the support bars 60 are generally positioned in the depressed central areas 52' and 54' of the opposing sidewalls immediately adjacent stepped region 53, Fig. 12.

As can be seen in outline in Fig. 11, the core forms 62 are supported by bars 60 which span the width of the mold 50 resting on the opposing sidewalls 52, 54. The head 72 and head stamp 70 (also seen in outline (Fig. 11)) are patterned to avoid contact with the core forms 62 and support bars 60.

The core forms have a number of functions. The core forms 62 act to form voids in the resulting composite masonry block. In turn, the core forms lighten the blocks, reduce the amount of fill necessary to make a block and add a handle to the lower surface of the block which assists in transport and placement of the blocks. In concert with these functions the cores may take any number of forms. Preferably, the core forms are approximately three inches square and penetrate from about 60% to about 80% of the blocks height and most preferably about 70% to 80% of the block height. Also preferred, as can be seen in the exploded view provided in Fig. 13, the core forms 62 are affixed to the support bar 60 at insert regions 60A. These insert regions 60A assist in positioning the cores and during processing, reduce the build up of block mix or fill on the lower edge of the support bar 60. In turn, maintaining a support bar 60 clean of mix build up maintains the planarity of the lower surface of blocks

formed in accordance with the present invention.

In operation, the mold 50 is generally positioned in a block molding machine atop a removable or slidable substrate 80, Fig. 13. The support bars 60 and core forms 5 62 are then placed into the mold 50. The mold 50 is then loaded with block mix or fill. As configured in Fig. 12, the mold 50 is set to form two blocks simultaneously in "siamese" pattern. As will be seen, once formed and cured, the blocks may be split along the edge created by flange 51 10 generally along axis A.

Prior to compression the upper surface of the mold 50 is scraped or raked with a feed box drawer (not shown) to remove excess fill. Scraping of the mold is preferably undertaken in a side-to-side direction in order to avoid 15 contact with the side bars 60. Also, removal of the excess fill from the mold by scraping from the side allows for the depressed central lengths 52' and 54' of the mold and does not disturb the fill at the stepped ends of the mold 50.

The mold is then subjected to compression directly by 20 head 70 (shown in outline complete in Fig. 11 and in perspective in Fig. 13). Preferably the head 70 is patterned 74 to avoid the support bars 60 and core forms 62. Also, as can be seen in Fig. 13, the head 70 preferably has an instep 75 which shape complements and 25 results in, the formation of the block flange 40. Instead of relying on the head to force block fill towards either end of the mold 50 into instep 75 to create a flange, the mold 50 maintains fill in the stepped regions at either end of the mold 50. The fill in these regions comes into 30 direct contact with instep 75 immediately upon lowering of the head 70. As a result, the fill in this stepped area is subjected to the same pressure as the fill in other areas of the mold. This results in a flange 40 of the same structural strength as the other elements of the block 15.

Once the mold has been filled, leveled by means such as a feed-box drawer, and agitated, a compression mechanism such as a head converges on the exposed surface of the fill. The head acts to compress the fill within the mold for a period of time sufficient to form a solid contiguous product. The head 70, as known to those of skill in the art, is a unit which has a pattern which mirrors the blocks and core forms 62 and is complementary to that of the mold 50. Generally, the compression time may be anywhere from 1 1/2 to 3 seconds and more preferably about 1.5 to about 2 seconds. The compression pressure applied by the head ranges from about 5000 to 8000 psi and preferably is about 7500 psi. Once a compression period is over, the head in combination with an underlying pallet 80 acts to strip the blocks 15 from the mold 50. At this point in time, the blocks are formed. Any block machine known to those of skill in the art may be used. One machine which has been found useful in the formation of blocks in accordance with the present invention is a Besser V-3/12 block machine.

Prior to compression the mold may be vibrated. Generally, the fill is transported from the mixer to a hopper which then fills the mold 50. The mold is then agitated for up to two or three seconds, the time necessary to ensure that the fill has uniformly spread throughout the mold. The blocks are then formed by the compressing action of the head.

Once the blocks are formed, they may be cured through any means known to those of skill in the art. Curing mechanisms such as simple air curing, autoclaving, steam curing or mist curing, are all useful methods of curing the block of the present invention. Air curing simply entails placing the blocks in an environment where they will be cured by the open air over time. Autoclaving entails placing the blocks in a pressurized chamber at an elevated temperature for a certain period of time. The pressure in

the chamber is then increased by creating a steady mist in the chamber. After curing is complete the pressure is released from the chamber which in turn draws the moisture from the blocks.

5 Another means for curing blocks is by steam. The chamber temperature is slowly increased over two to three hours and then stabilized during the fourth hour. The steam is gradually shut down and the blocks are held at the eventual temperature, generally around 120 - 200°F. for two  
10 to three hours. The heat is then turned off and the blocks are allowed to cool. In all instances, the blocks are generally allowed to sit for twelve to twenty-four hours before being stacked or stored. Critical to curing operations is a slow increase in temperature. If the  
15 temperature is increased too quickly, the blocks may "case-harden." Case-hardening occurs when the outer shell of the blocks hardens and cures while the inner region of the block remains uncured and moist. While any of these curing mechanisms will work, the preferred curing means is  
20 autoclaving.

Once cured, the blocks may be split if they have been cast "siamese" or in pairs. Splitting means which may be used in the method of the present invention include a manual chisel and hammer as well as machines known to those  
25 with skill in the art for such purposes. Splitting economizes the production of the blocks of the present invention by allowing the casting of more than one block at any given time. When cast in pairs, the blocks 15, Fig. 13, may be cast to have an inset groove created by flange  
30 51 on their side surfaces between the two blocks. This groove provides a natural weak point or fault which facilitates the splitting action along axis A'. The blocks may be split in a manner which provides a front surface 22 which is smooth or coarse, single-faceted or multi-faceted,  
35 as well as planar or curved. Preferably, splitting will be



completed by an automatic hydraulic splitter. Once split, the blocks may be cubed and stored.

The above discussion, examples, and embodiments illustrate our current understanding of the invention.

- 5 However, since many variations of the invention can be made without departing from the spirit and scope of the invention, the invention resides wholly in the claims hereafter appended.

WE CLAIM AS OUR INVENTION:

1. A composite masonry block comprising:

(a) a block body, said block body having an irregular trapezoidal shape comprising a front surface and a back surface being substantially parallel to each other and separated by a distance comprising the depth of the block, an upper surface and a lower surface separated by a distance comprising the height of the block, said lower surface having a smaller area proportion than said upper surface, and first and second sidewall surfaces separated by a distance comprising the width of the block, said sidewall surfaces adjoining said block upper and lower surfaces, both said first and second sidewall surfaces each comprising a first and second part, said sidewall first part surfaces extending from said block front surface towards said block back surface at an angle of ninety degrees or less in relationship to said block front surface, said sidewall second part surfaces adjoining and lying between said sidewall first parts and said block back surface; and

(b) a flange extending from the block back surface past the height of the block, said flange comprising a setback surface and a locking surface, said setback surface extending from the lower edge of the flange in a plane parallel to the block upper and lower surfaces and towards said block front surface to adjoin said flange locking surface, said locking surface extending from the plane of said block lower surface adjoining and lying between said setback surface and said block lower surface.

2. The block of claim 1 wherein said block body comprises cores.

3. The composite masonry block of claim 1 wherein said upper and said lower block surfaces are substantially planar.

4. The composite masonry block of claim 1 wherein said  
5 sidewall second part surfaces converge towards said block back surface.

5. The composite masonry block of claim 1 wherein said flange setback surface has a width ranging from about 0.5 inch to about 2 inches.

10 6. The composite masonry block of claim 1 wherein said front surface is coarse.

7. The composite masonry block of claim 1 wherein said composite elements comprise sand, stone, and cement.

8. The composite masonry block of claim 1 wherein the  
15 block comprises a retaining wall block.

9. The composite masonry block of claim 1, wherein said sidewall first part surfaces extend from said block front surface towards said block back surfaces at an angle of less than ninety degrees in relationship to said block  
20 front surface.

10. The composite masonry block of claim 8 wherein said block body comprises cores.

11. The composite masonry block of claim 8 wherein said upper and said lower block surfaces are substantially  
25 planar.

12. The composite masonry block of claim 8 wherein sidewall second part surfaces converge towards said block back surface.

13. The composite masonry block of claim 8 wherein  
30 said flange setback surface has a width ranging from about 0.5 inch to about 2 inches.

14. The composite masonry block of claim 8 wherein said front surface and said sidewall first part surfaces are coarse.

15. The composite masonry block of claim 8 wherein said composite elements comprise sand, stone, and cement.

16. The composite masonry block of claim 8 wherein the block comprises a retaining wall block.

5 17. A retaining wall comprising a plurality of courses, each of said courses comprising a plurality of composite masonry blocks, each of said masonry blocks comprising:

10 (a) a block body, said block body having an irregular trapezoidal shape comprising a front surface and a back surface being substantially parallel to each other and separated by a distance comprising the depth of the block, an upper surface and a lower surface separated by a distance comprising the height of the  
15 block, said lower surface having a smaller area proportion than said upper surface, and first and second sidewall surfaces separated by a distance comprising the width of the block, said sidewall surfaces adjoining said block upper and lower surfaces,  
20 both said first and second sidewall surfaces each comprising a first and second part, said sidewall first part surfaces extending from said block front surface towards said block back surface at an angle of no greater than ninety degrees in relationship to said  
25 block front surface, said sidewall second part surface adjoining and lying between said sidewall first parts and said block back surface; and

(b) a flange spanning the width of said block back surface and extending from the block back surface  
30 past the height of the block, said flange comprising a setback surface and a locking surface, said setback surface extending from the lower edge of the flange in a plane parallel to the block upper and lower surfaces and towards said block front surface to adjoin said  
35 flange locking surface, said locking surface extending

from the plane of said block lower surface adjoining and lying between said setback surface and said block lower surface.

18. The retaining wall of claim 16 wherein said wall comprises at least one anchoring matrix positioned between at least two adjacent blocks of two different courses.

19. The retaining wall of claim 17 wherein said wall has a serpentine pattern.

20. The retaining wall of claim 17 wherein said retaining wall masonry blocks comprise sidewall first part surfaces extending from said block front surface towards said block back surfaces at an angle of less than ninety degrees in relationship to said block front surface.

21. The retaining wall of claim 20 wherein said wall comprises at least one anchoring matrix positioned between at least two adjacent blocks of two different courses.

22. The wall of claim 20 wherein said wall has a serpentine pattern.

23. A masonry block mold, said mold comprising two opposing sides and a front and back wall, said opposing sides adjoining each other through mutual connection with said mold front and back walls, said mold having a central cavity bordered by said mold opposing sides and said mold front and back walls, said mold opposing sides comprising stepped means for holding additional block fill in the mold cavity adjacent said mold front and back walls.

24. The masonry block mold of claim 23 wherein said mold comprises support bars, said support bars suspended across the mold cavity, resting on said mold opposing sides and positioned adjacent said stepped means.

25. The masonry block mold of claim 24, wherein said mold comprises at least one core form suspended from each of said support bars, said forms suspended from said bars into the cavity of said mold.

26. A method of using a masonry block mold, said mold comprising two opposing sides and a front and back wall, said opposing sides adjoining each other through mutual connection with said mold front and back walls, said mold  
5 having a central cavity bordered by said mold opposing sides and said mold front and back walls, said mold opposing sides comprising stepped means for holding additional block fill in the mold cavity adjacent said mold front and back walls comprising the steps of:

- 10           (a) loading said mold with block fill;  
             (b) drawing excess block from the mold; and  
             (c) compressing the block fill within the mold.

27. The method of claim 25, additionally comprising the step of ejecting the formed masonry block from the  
15 mold.

28. The method of claim 26, additionally comprising the step of affixing at least one support bar over the mold opposing sidewalls adjacent said sidewall step means.

29. The composite masonry block formed by the process  
20 of claim 25.

Abstract of the Invention

The present invention includes block molds and manufacturing processes as well as a composite masonry block comprising a block body having an irregular

5 trapezoidal shape and comprising a front surface and a back surface, an upper surface and a lower surface, and first and second sidewalls. Both the first and second sidewalls have a first and second part, the sidewall first part extends from the block front surface towards the block back

10 surface at an angle of no greater than ninety degrees in relationship to the block front surface, the sidewall second part surfaces adjoins and lies between the sidewall first parts and the block back surface. The block also has a flange extending from the block back surface past the

15 height of the block.

Also disclosed are landscaping structures such as a retaining wall comprising a plurality of the composite masonry blocks of the present invention.

FIG. 1

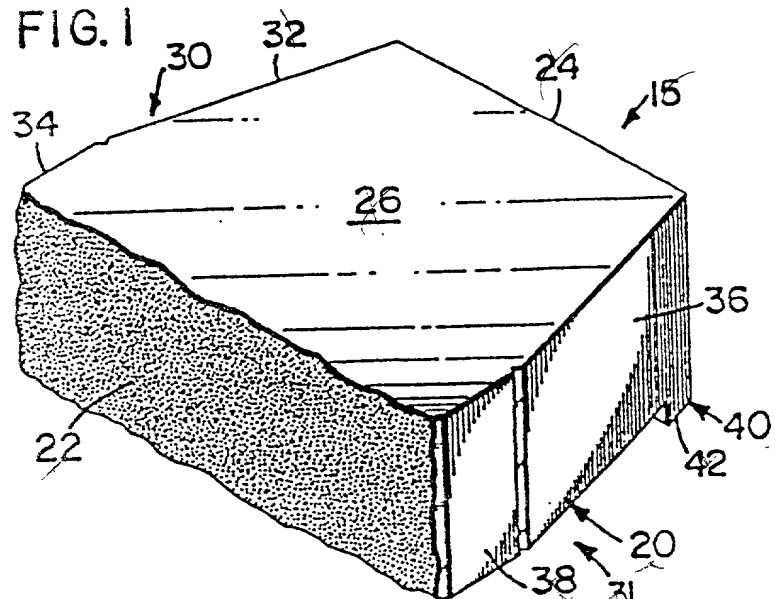


FIG. 2

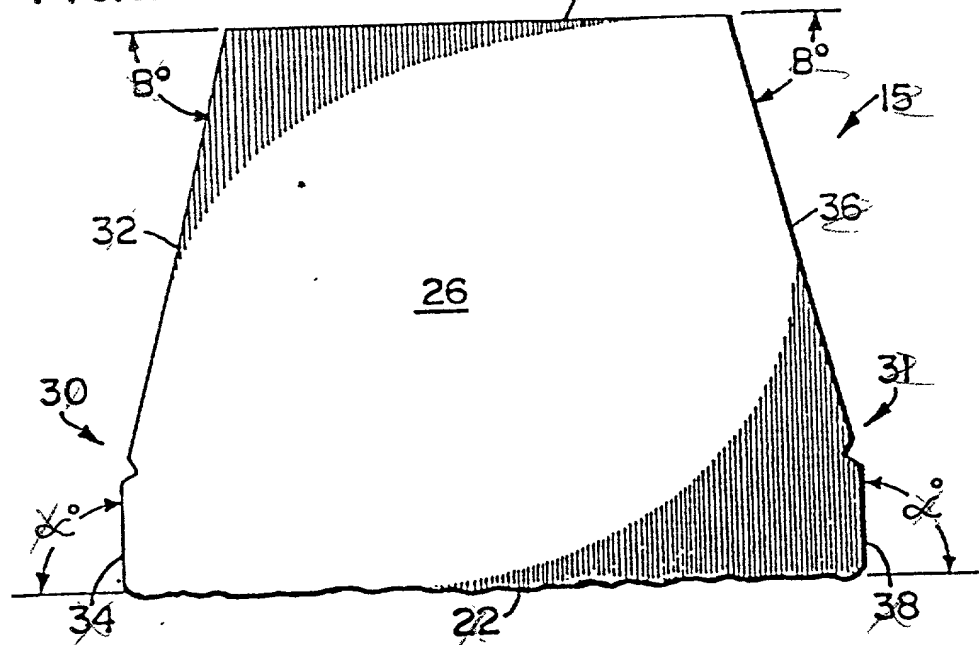


FIG. 3

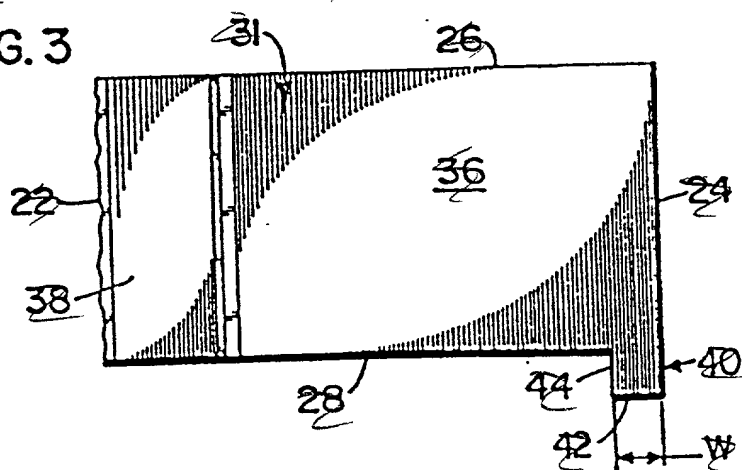




FIG. 4

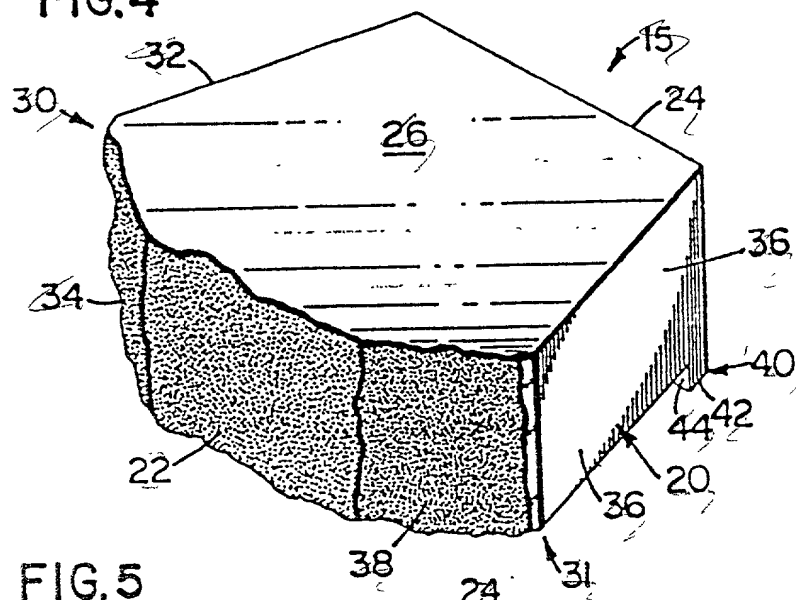


FIG. 5

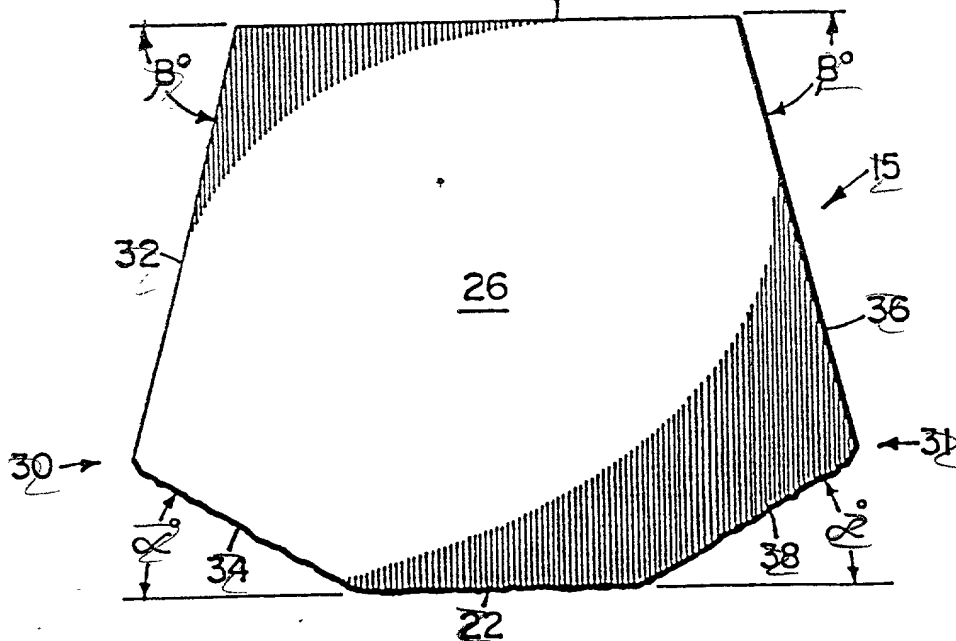
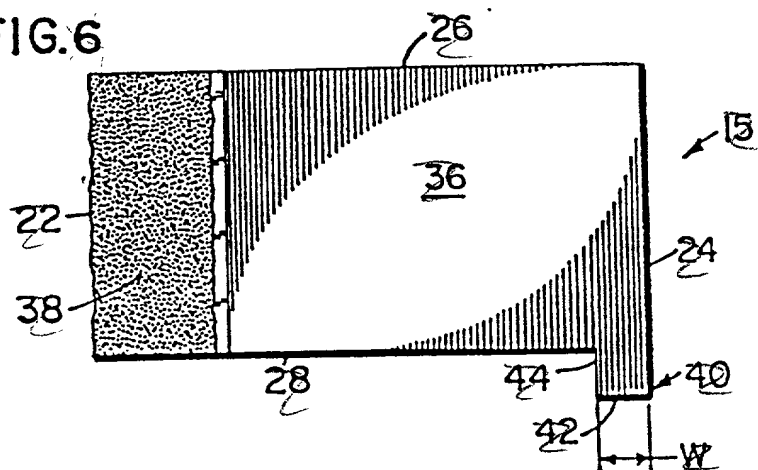


FIG. 6



603600" 57603700

FIG. 7

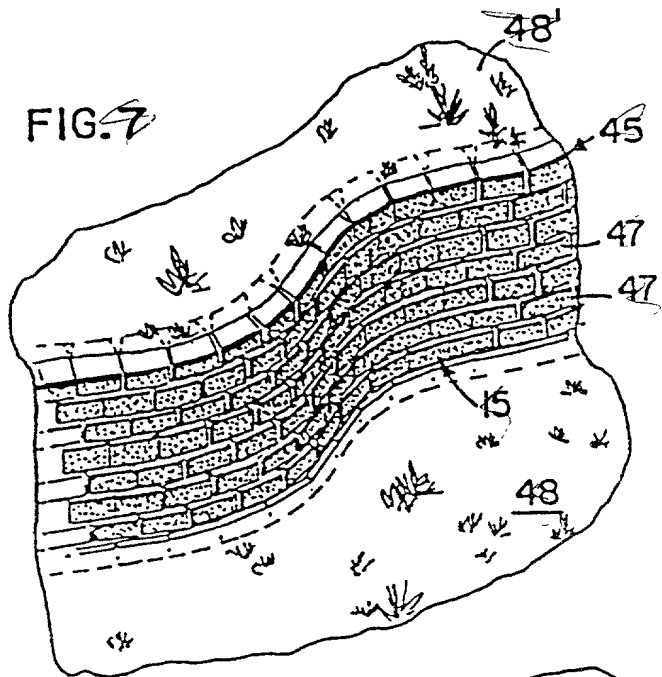


FIG. 8

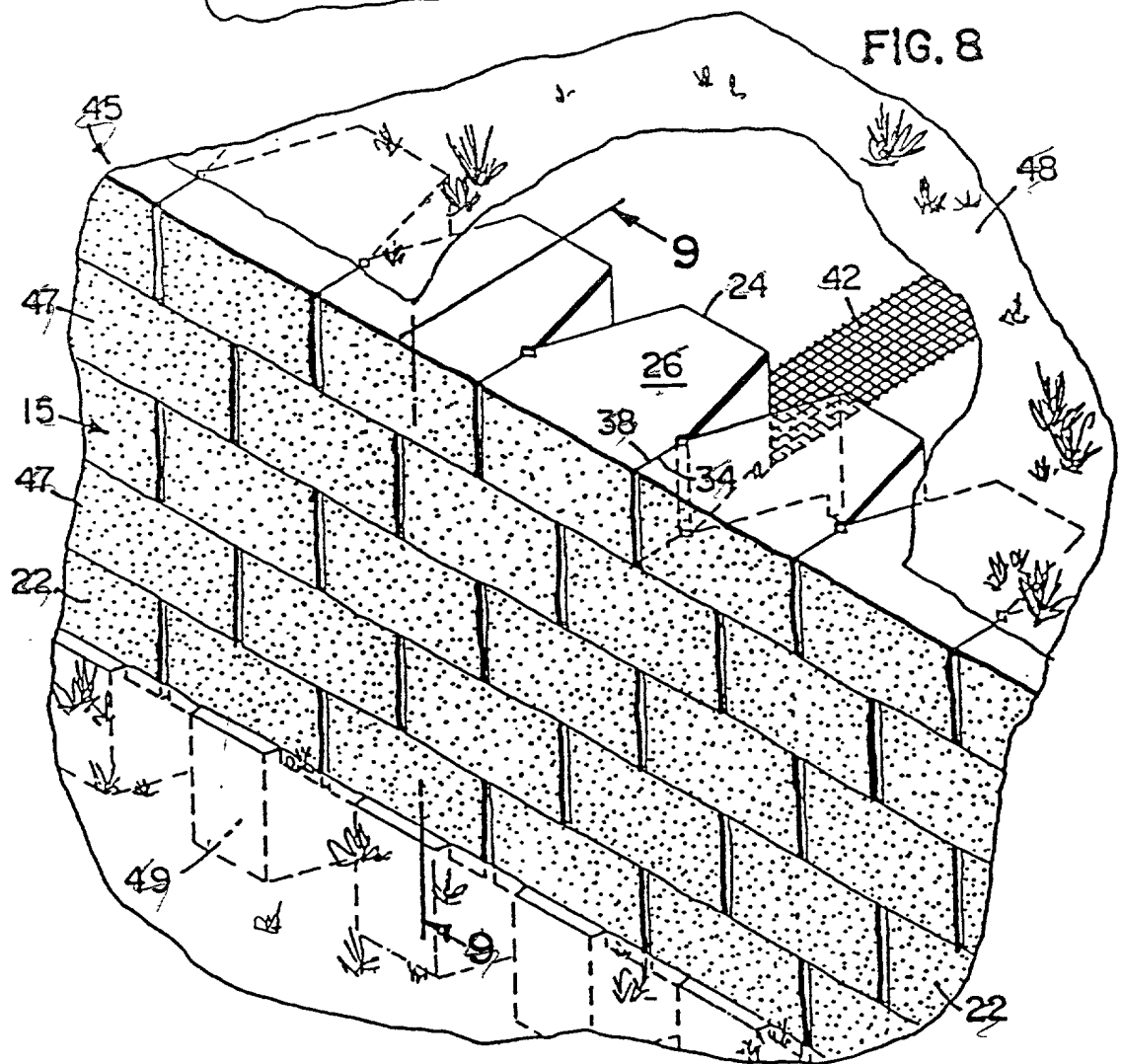


FIG. 9

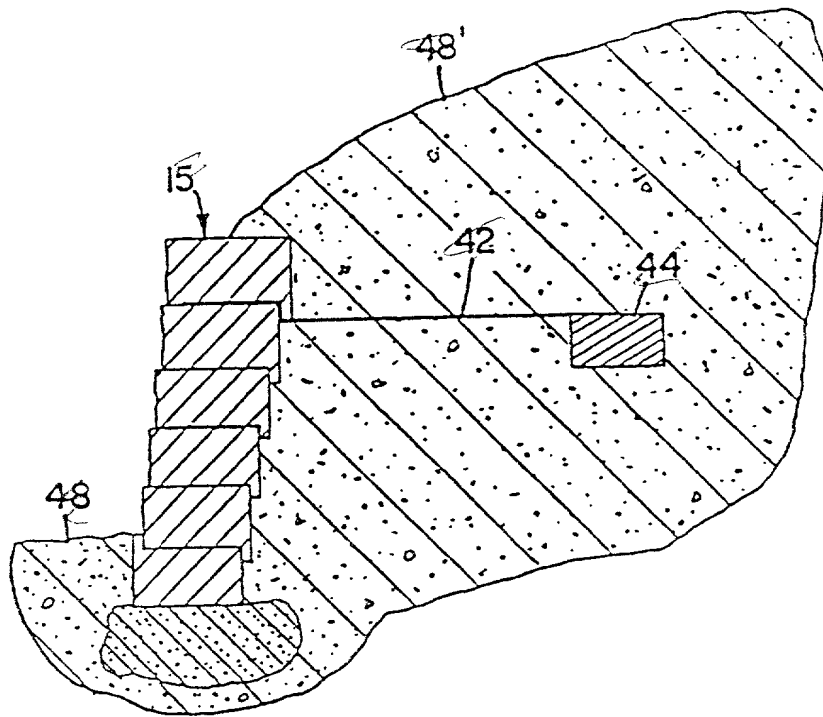


FIG. 11

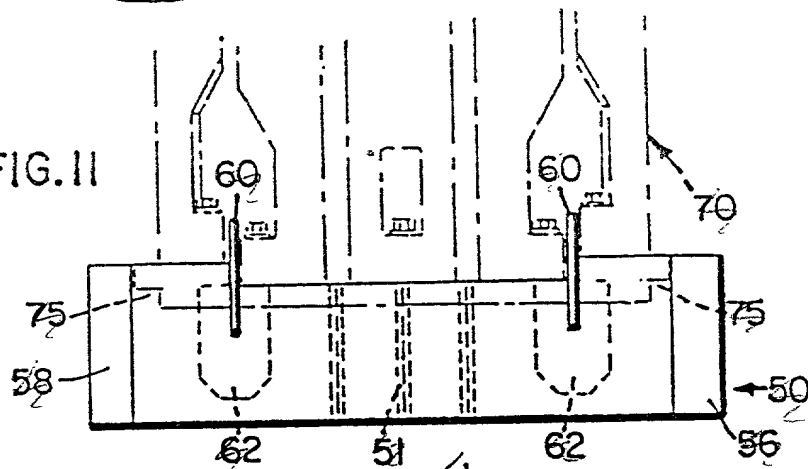


FIG. 12

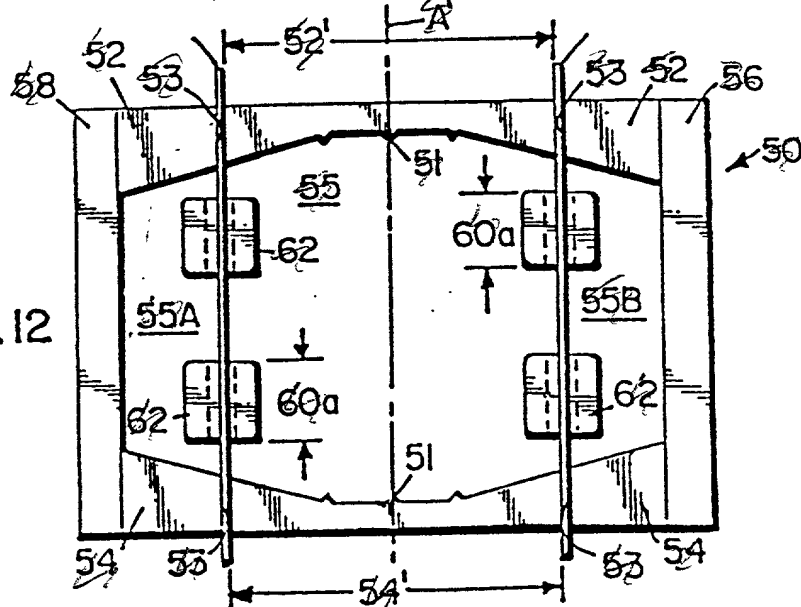
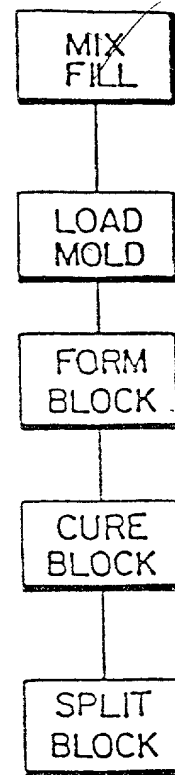


FIG. 10





MERCHANT, GOULD, SMITH, EDELL, WELTER & SCHMIDT

# United States Patent Application

## INSTRUCTIONS

### COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that

I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMPOSITE MASONRY BLOCK

Insert TITLE of invention

Check a or b

The specification of which

a. ☒ is attached hereto

b. ☐ was filed on \_\_\_\_\_

If "a" checked, complete

as application serial no. \_\_\_\_\_

and was amended on \_\_\_\_\_ (if applicable)

If PCT Application

(in the case of a PCT-filed application)

Insert int. application  
number & filing date

described and claimed in international no. \_\_\_\_\_ filed \_\_\_\_\_

and as amended on \_\_\_\_\_ (if any), which I have reviewed and for which I solicit a United States patent.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a). (Reprinted on back side).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent of inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed:

Prior applications  
Check a or b

a. ☒ no such applications have been filed.

b. ☐ such applications have been filed as follows:

FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC 119			
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)
ALL FOREIGN APPLICATIONS, IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S)			

If "b" checked, complete

I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

U S APPLICATION NUMBER	DATE OF FILING (day month year)	STATUS (patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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Please direct all correspondence in this case to Merchant, Gould, Smith, Edell, Welter & Schmidt at the address indicated below (or if no address is specified, the first address):

- ☐ 3100 Norwest Center, Minneapolis, MN 55402  
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- ☒ 1000 Norwest Center, St. Paul, MN 55101  
Telephone No. (612) 298-1055

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Insert FULL name(s)  
AND address(es) of  
actual inventor(s)

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	POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE & ZIP CODE/COUNTRY
SIGNATURE OF INVENTOR 201		SIGNATURE OF INVENTOR 202		SIGNATURE OF INVENTOR 203
<i>Mike Woolford</i>		<i>Dick Sievert</i>		
DATE		DATE		DATE
6/7/90		6/7/90		

Each inventor must  
sign & date

Note: No legalization or  
other witness required

For Additional Inventors:

- ☐ Check box and attach sheet with same information, including date and signature.